

ANNUAL WORK PLAN FOR UN-REDD MONGOLIA PROGRAMME FOR THE YEAR 2016

Year: 2016

Project Number: 0093549

Project Title: UN-REDD Mongolia National Programme

Expected Outcome(s): Outcome 1: National REDD+ management arrangements established and improved stakeholder awareness and effective stakeholder engagement
Outcome 2: National REDD+ strategy prepared

Outcome 3 : Forest reference emissions levels and forest reference levels developed

Outcome 4: National forest monitoring system and safeguards information system developed

Key Implementing Partners: Ministry of Environment, Green Development and Tourism



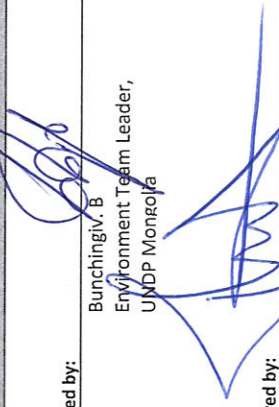
Expected outputs	Planned Activities	Lead UN	Quarter				Budget Code&Description	Budget	
			1	2	3	4			
Outcome 1 : NATIONAL REDD+ MANAGEMENT ARRANGEMENTS ESTABLISHED and IMPROVED STAKEHOLDER AWARENESS AND EFFECTIVE STAKEHOLDER ENGAGEMENT									
Indicators: Stakeholder engagement mechanisms in place for accountable, transparent and equitable decision making									
Targets: By 9 months after Inception, mechanisms of engagement and participation are established.									
Output 1. A broad-based, multi-stakeholder National REDD+ Taskforce established INDICATOR: A broad-based, multi-stakeholder National REDD+ Taskforce. TARGETS: By 6 months after Inception, TWGs are established.	1.1. Conduct detailed institutional mapping for REDD+, including sub-national level to identify relevant policy actors to be represented in the National REDD+ Taskforce.	UNDP					71800 Contractual Services - Imp Partners 72500 Supplies 72200 Equipment and Furniture 71600 Travel	2,000 2,448 3,000 5,000	
	1.2. Review operation of current Taskforce and identify any changes required (e.g. to functions, mandate and membership).	UNDP					71800 Contractual Services - Imp Partners 72200 Equipment and Furniture 74500 Miscellaneous Expenses	2,000 3,200 200	
	1.3. Prepare draft terms of reference for Technical Working Groups, which may later be merged to become a Task Force by ministerial decree.	UNDP					72500 Supplies 72200 Equipment and Furniture 71600 Travel	1,500 4,000 4,000	
	1.4. Undertake capacity needs assessment for Taskforce and TWGs upon operationalization (TWGs on an as-needed basis) in order to fulfil their expected roles and responsibilities.	UNDP					71800 Contractual Services - Imp Partners	500	
	1.5. Provide capacity support based on identified needs to ensure effective functions of Taskforce and TWGs.	UNDP					71800 Contractual Services - Imp Partners 74500 Miscellaneous Expenses	600	
	Total							29,448	
	Indirect costs / Support cost 7%							2,061	
	2.1. Review options for establishing and locating the PMU (e.g. where can it be best placed to ensure broad-based, cross-sectoral engagement?).	UNDP							
	Output 2 UN-REDD Mongolia Programme Management Unit (PMU) established INDICATOR: PMU established and fully operational. TARGETS: By 2 weeks, proposal to establish REDD+ Unit agreed. By 5 weeks, REDD+ Unit established and operational (note: international advisor may come on board slightly later)	2.2. Agree on ToR for the PMU, and establish the PMU. (PMU management)	UNDP					71200 International Consultants 71400 Contractual Services - Individ 71800 Contractual Services - Imp Partners 72500 Supplies 72200 Equipment and Furniture 71600 Travel 74500 Miscellaneous Expenses	128,152 23,500 50,500 10,000 20,000 3,000 5,000
		2.3. Establish clear working arrangements between the PMU and DFPC, MEGDT, coordinating all relevant REDD+ activities.	UNDP					71200 International Consultants 71800 Contractual Services - Imp Partners 72500 Supplies 72200 Equipment and Furniture 71600 Travel 74500 Miscellaneous Expenses	21,500 18,500 2,000 3,000 3,000 5,000
		2.4. Identify capacity development needs of PMU and develop and implement a capacity development plan.	UNDP					71200 International Consultants 71800 Contractual Services - Imp Partners 72500 Supplies 72200 Equipment and Furniture	21,500 18,500 3,000 4,500

Expected outputs	Planned Activities	Lead UN	Quarter				Budget Code&Description	Budget
			1	2	3	4		
						71600 74500	Travel Miscellaneous Expenses 4,000 4,500	349,152
								24,441
								2,482
								2,000
								2,000
								750
								4,000
								3,000
								18,232
								1,276
								2,700
								7,000
								8,000
								20,000
								5,000
								4,000
								2,000
								5,000
								1,500
								2,000
								10,000
								10,000
								5,000
								1,000
								1,500
								98,700
								6,909
								2,000
								10,000
								2,000
								2,000
								2,000
								2,000
								2,000
								6,000
								2,000
								4,000
								30,000
								2,100

Expected outputs	Planned Activities	Lead UN	Quarter				Budget Code&Description	Budget
			1	2	3	4		
Outcome 1 sub-total								
Outcome 2 : NATIONAL REDD+ STRATEGY PREPARED INDICATOR: Mongolia has the National REDD+ Strategy with clear institutional arrangements to implement resultsbased actions								
TARGETS: By the end of NP								
Output 7: Barriers to REDD+ identified through: 1) analysis of drivers of deforestation and forest degradation; and 2) assessment of legal and policy alignment needs INDICATOR: Challenges and opportunities in addressing land use and land cover change identified. Associated weaknesses and strengths in the policy, legal and institutional framework and capacities recognised. TARGETS: By 12 months after Inception, national study to identify and assess drivers completed.	7.1. Identify and analyse the drivers of deforestation and forest degradation.	UNDP						
	7.2. Assess existing laws and policies, including clarifying whether securing forest and land management /use rights is required for REDD+.	UNDP						
	7.3. Assess institutional framework for forest management and governance (e.g. supply of forest resource, corruption risk-mapping of forest and public finance sectors, etc.).	UNDP						
	7.4. Provide policy recommendations and sectoral action plans for REDD+ (linking to energy, agriculture and transformational policies toward green economy)	UNDP						
	7.5. Validate recommendations with stakeholders.	UNDP						
	Total						0	
	Output 8: REDD+ policies and measures (PAMs) identified and prioritised through: 1)identification of PAMs to address barriers to REDD+; and 2)demonstration activities to test identified PAMs for REDD+ INDICATOR: Different PAMs options for addressing drivers of deforestation and forest degradation, stakeholder engagement, technical approaches at national and subnational levels identified. TARGETS: By 12 months after Inception, a study to identify main PAMs conducted	8.1. Carry out studies and consultations to identify main PAMs, which will address each of the prioritized drivers, including an assessment of the potential impacts of each of these PAMs on poverty alleviation, and men and women.	UNDP					
		8.2. Prepare proposed list of PAMs to address deforestation and forest degradation and validate with stakeholders.	UNDP					
		Total						76,000
		Indirect costs / Support cost 7%						5,320
Output 9: National fund management and mechanism for distribution of positive incentives deigned INDICATOR: Transparent system for REDD+ finances identified TARGETS: By 10 months after Inception, assessment of existing systems for financial management and distribution is commenced.		9.1. Assess existing systems for financial management and distribution (e.g., fee for using natural resources, micro-finance, national pension and healthcare schemes, etc.).	UNDP					
		9.2. Prepare options paper and recommendations for transparent and accountable management of national REDD+ revenues. If appropriate, propose establishment of National REDD+ Fund.	UNDP					
		Total						30,000
		Indirect costs / Support cost 7%						2,100
		11.1. Conduct a gender analysis of proposed national and local institutional structures for REDD+.	UNDP					
Output 11: Gender analysis undertaken to make all outputs under the National Programme gender sensitive INDICATOR: Concrete strategies and guidelines available to systemically address gender considerations and inclusion of women in REDD+ processes. TARGETS: Gender analysis of proposed national and local institutional structure for REDD+ commenced.								
	Total						10,000	
Indirect costs / Support cost 7%						700		

Expected outputs	Planned Activities	Lead UN	Quarter				Budget Code&Description	Budget
			1	2	3	4		
Output 12: REDD+ social and environmental safeguard policy framework developed INDICATOR: REDD+ Social and Environmental Safeguard Policy Framework prepared and officially approved. TARGETS: Preparation and approval of draft safeguard Framework by 20 months after Inception.	12.1. Determine the objectives of the safeguards through a multi-sectoral consultation process.	UNEP					Staff and other personnel costs Supplies, Commodities, Materials Contractual Services	7,000 500 2,000
	12.2. Review existing national laws and policies on safeguards with respect to UNFCCC Cancun Agreement and identify whether new laws and policies are required.	UNEP					Staff and other personnel costs Supplies, Commodities, Materials Contractual Services Travel	5,000 15,000 1,000 14,500 5,000
Outcome 2 sub-total	Indirect costs / Support cost 7%							50,000 3,500
Outcome 3 : FOREST REFERENCE EMISSIONS LEVELS AND FOREST REFERENCE LEVELS DEVELOPED								
Indicator: National or subnational FREL/FRL drafted and endorsed Targets: One NFMS								
Output 11: Capacity built for the development of FRELs/FRLs INDICATOR: Number of individuals with capacities for developing FREL/FRLs. Action Plan developed and adopted. National agreements on forest definition, scope and scale reached. TARGETS: By 12 months after Inception, Action Plan developed. By 18 months after Inception, national agreements reached	14.1. FRELs/FRLs capacity building workshops and seminars held with stakeholders.	FAO					Staff and other personnel costs Supplies, Commodities, Materials Travel	12,000 6,000 4,000
	14.2. Development of an Action Plan for the development of FRELs/FRLs in Mongolia.	FAO					General Operating and Other Direct Costs Staff and other personnel costs Supplies, Commodities, Materials Travel General Operating and Other Direct Costs	1,411 14,000 5,000 4,000 1,475
Outcome 3 sub-total	Indirect costs / Support cost 7%							47,886 3,352
Output 15: FRELs/FRLs methodologies developed and tested (corresponds to outputs 15, 16 and 17 in Roadmap) INDICATOR: Complete set of historical activity data and emission factors compiled. Decision on adjustment for national circumstances made. Process for iterative FREL/FRL development established and FREL/FRL submitted to UNFCCC TARGETS: By 18 months after Inception, data compiled.	15.1. Data compiled and methodologies tested, including: a. Compilation of a harmonised, historical time series of activity data b. Compilation of emission factors c. Testing and agreement on methodological elements	FAO					Staff and other personnel costs Supplies, Commodities, Materials Equipment, Vehicles, and Furniture including Contractual Services Travel	20,000 5,000 30,000 25,000 10,000
	15.2. Mongolia's national circumstances assessed, and decision on whether and how an adjustment to the FREL/FRL is required	FAO					General Operating and Other Direct Costs Staff and other personnel costs Contractual Services Travel	5,773 12,000 10,000 4,000
15.3. FREL/FRL drafted through an iterative process, expert review and refinement, for submission to UNFCCC.	FAO					General Operating and Other Direct Costs	1,668	
Outcome 3 sub-total	Indirect costs / Support cost 7%							123,440 8,641
Outcome 4: NATIONAL FOREST MONITORING SYSTEM AND SAFEGUARDS INFORMATION SYSTEM DEVELOPED								
Indicator: NFMS developed and functional as a MRV system for REDD+ Targets: One NFMS								
Output 16: NFMS and Forest Information System (FIS) development process managed, corresponds to outputs 18, 19 and 22 in Roadmap) Indicators: NFMS Act on Plan, % of activities implemented. Number of NFMS Action Plan annual revisions conducted. Central FIS database operationalised. Number of government institutions linked by Data sharing agreements. Forest management and monitoring system and guidelines developed. Forest boundary delineation completed TARGETS: By 18 months after Inception, a method for determining monitoring protocols for PAMs is adopted.	16.1. NFMS Action Plan management: a. Organize NFMS work and regular Working Group meetings. b. Formalize institutional arrangements for the implementation and management of NFMS.	FAO					Staff and other personnel costs Supplies, Commodities, Materials Contractual Services Travel	5,000 2,000 2,000 577
	16.2. Forest Information System (FIS) suitable for monitoring of REDD+ Actions: a. Establishment of a web-GIS platform for forest information. b. Establishment of central REDD+ FIS database. c. Develop monitoring protocols for REDD+ policies and measures, including community forest monitoring. d. Forest boundary delineation in the field and GIS.	FAO					General Operating and Other Direct Costs Staff and other personnel costs Equipments, Vehicles, and Furniture including Contractual Services Travel General Operating and Other Direct Costs	20,000 12,000 20,000 5,000 3,656
Outcome 4 sub-total	Indirect costs / Support cost 7%							70,233 4,916

Expected outputs	Planned Activities	Lead UN	Quarter				Budget Code&Description	Budget
			1	2	3	4		
Output 17: REDD+ MRV system developed INDICATORS: MRV system completed and capable of generating national GHG-I reports (BURS) including LULUCF data suitable for REDD+ reporting. SLMS established with common land classification system. National emission factors developed. Assessment report. Number of individuals trained and capable: - In SLMS - In GHG-I TARGETS: By 18 months after inception, training on remote sensing and GIS is provided. By 18 months after inception, a tree species and forestry database is established and data gap analysis completed.	17.1. Establishment of Satellite Land Monitoring System (SLMS): a. Capacity building on GIS and remote sensing b. Establishment of land cover classification system. c. Create land cover maps 17.2. National Forest Inventory (NFI) cycle supported: a. Targeted capacity building programme for NFI. b. Develop targeted tree species, e.g Saxaul, and forest inventory database, data gap analysis for estimation of emission factors. c. National dialogue on the use of NFI results. d. Assessment of NFI methodology for second cycle. 17.3. Greenhouse Gas Inventory (GHG-I) for the Forest Sector: a. Capacity building programme for GHG-I. b. Develop GHG database and archiving system.	FAO					Staff and other personnel costs 15,000 Contractual Services 10,000 Travel 3,000 General Operating and Other Direct Costs 1,796 Staff and other personnel costs 17,000 Supplies, Commodities, Materials Contractual Services Travel 3,000 Transfers and Grants Counterparts	
Output 18: Safeguards Information System (SIS) established INDICATORS: Effective SIS providing information on how REDD+ safeguards are addressed and respected in Mongolia. TARGETS: By 18 months after inception, nationally appropriate safeguards and indicators are identified.	18.1. Develop a full list of the potential social, environmental and other benefits and risks. Study the potential benefits and risks. Prioritise amongst this list for benefits and risks to be monitored. 18.2. Finalize the list of social, ecological or governance impacts that should be covered by the Safeguards. 18.3. Identify and assess related in-country national and international initiatives related to governance, and other REDD+ safeguards, such as FLEGT.	FAO					Total 98,965 Indirect costs / Support cost 7% 6,928 Staff and other personnel costs 10,000 Supplies, Commodities, Materials 1,000 Contractual Services 5,000 Travel 4,000 Staff and other personnel costs 5,000 Supplies, Commodities, Materials 500 Contractual Services 10,000 Travel 2,000 Staff and other personnel costs 5,000 Supplies, Commodities, Materials 500 Contractual Services 5,000 Travel 2,000 General Operating and Other Direct Costs	
Total Indirect costs / Support cost 7%							50,000 3,500 219,198	
Total Programme cost (Agency)							FAO 340,525 UNDP 641,532 UNEP 100,000	
Total Programme cost (All outcomes) Indirect costs / Support cost 7% GRAND TOTAL							1,082,057 75,744 1,157,801	

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